

PTO/PCT Rec'd 07 SEP 2000

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Yoshihiro TOSAKI et al.

Serial No. 09/582,727

Filed June 30, 2000



Attn: BOX PCT

Docket No. 2000-0926A

OPTICAL DISK, APPARATUS FOR PLAYING
BACK AND APPARATUS FOR COPYING
OPTICAL DISK AND METHOD OF
PREVENTING ILLEGAL USE OF OPTICAL DISK
[Corresponding to PCT/JP99/06102
Filed November 2, 1998]

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of () the references listed on the attached Form PTO-1449 and/or () the additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for each reference previously cited by or submitted to the Patent Office in prior parent application Serial No. .

1. This Information Disclosure Statement is submitted:
 - a. ☒ (X) within 3 months of the filing date of the above-identified application, or
☐ () within 3 months of entry into National stage prosecution of an International application, or () before the mailing date of the first Office Action on the merits, and thus:

☒ (X) no certification and/or fee is required.
 - b. ☐ () after the events of above paragraph 1a and prior to the mailing date of a final Office Action or Notice of Allowance, and thus:

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEE FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975.

- ☐ the certification of paragraph 2 below is provided,
or
- ☐ a fee of \$240.00 is enclosed.
- c. ☐ after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus:
 - ☐ the certification of paragraph 2 below is provided,
and
 - ☐ a Petition and fee of \$130.00 are enclosed.

2. It is hereby certified

- ☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following additional information (including any co-pending or abandoned U.S. application, prior uses and/or sales, etc.) is requested:

4. ☒ For each non-English language reference listed on the attached Form PTO-1449:

- ☐ reference is made to an English language translation submitted herewith, and/or
- ☒ reference is made to a foreign patent office search report (in the English language) submitted herewith, and/or
- ☐ reference is made to an English language translation of a foreign patent office search report submitted herewith, and/or
- ☐ reference is made to the concise explanation contained in the specification of the present application at page(s) , and/or

- ☐ reference is made to the concise explanation set forth below:
- ☒ the concise explanation contained set forth in the attached English language abstract
5. ☐ Applicant also offers the following comments for the Examiner's consideration:
6. ☐ Also enclosed is a copy of the European Search Report citing these references.

Respectfully submitted,

Yoshihiro TOSAKI et al.

By



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